### Resolving persistent homology Lecture 1: Overview

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5ta Escuela de Análisis Topológico de Datos

CIMAT, Guanajuato, México

19 November 2018

### 1. Data

- 2. Persistent homology
- 3. Fruit fly wing veins
- 4. Probability distributions
- 5. History of persistent homology
- 6. Biological movitation
- 7. Topological tameness
- 8. Presenting poset modules
- 9. Syzygy theorem
- 10. Generators and cogenerators
- 11. Decomposition
- 12. Future directions

# Collaborators

Biologists •



David Houle (Florida State)

Mathematicians



Justin Curry (Albany)

Statisticians



Sayan Mukheriee (Duke)



Greg Malen (postdoc, Duke)

Andrew

Nobel







Ashleigh Thomas (grad, Duke)



Surabhi Beriwal (undergrad, Duke)

(UNC-Chapel Hill)

# What kinds of data?

### Shapes

- 1D: curves (in  $\mathbb{R}^2$  or  $\mathbb{R}^3$ , say)
- 2D: photographs
- 3D: MRI, DTI, SPECT, PET, CAT, integrated photo
  - cricket sclerites
  - brain arteries
  - lung airways
  - fiber tracts
- (2+1)D: video (.mp4, .mov, ...)
- 4D: fMRI, or any time series of spatial 3D
- arbitrary D: abstract geometric structures from data
  - any bunch of isolated points in  $\mathbb{R}^n$  (!), especially for  $n \gg 0$
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- metabolic
- regulatory (genetic)
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- physical: road maps, plant roots, neuronal (dendritic), ...

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#### Normal fly wings [images from David Houle's lab]:



#### Topologically abnormal veins:



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# A. apoplanos



courtesy Elen Oneal

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# Lung airways (COPD study)



[Belchi, Pirashvili, Conway, Bennett, Djukanovic, Brodzki 2018]

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# Data Persistence Flywings Probability History Biology Tameness Presentation Syzygy theorem (Co)generators Decomposition Future Streamlines from Diffusion Tensor Imaging



courtesy Zhengwu Zhang

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 $\leftarrow \texttt{``TDA''}$ 

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### Persistent homology

- Input. Topological space X filtered by set Q of subspaces:  $X_q \subseteq X$  for  $q \in Q$  $\Rightarrow Q$  is a partially ordered set:  $X_q \subseteq X_{q'} \Leftrightarrow q \preceq q'$
- Def.  $\{X_q\}_{q \in Q}$  has persistent homology  $\{H_q = H(X_q; \Bbbk)\}_{q \in Q}$ .
- Def. *Q*-module over the poset *Q*:
  - family  $H = \{H_q\}_{q \in Q}$  of vector spaces over the field  $\Bbbk$  with
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#### Examples

• points in  $\mathbb{R}^n$ :  $Q = \{0, ..., m\}$  or  $\mathbb{R}$  1-parameter ("ordinary") persistence

2 discrete or continuous parameters

- brain arteries:  $Q = \{0, ..., m\}$  or  $\mathbb{R}$  1-parameter ("ordinary") persistence
- wing veins:  $Q = \mathbb{Z}^2$  or  $\mathbb{R}^2$
- probability distributions:  $Q = \mathbb{R}^2$
- $Q = \mathbb{Z}^n \Leftrightarrow H = \mathbb{Z}^n$ -graded  $\Bbbk[x_1, \ldots, x_n]$ -module
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#### Example: expanding balls



 $\dim(H_0)=31$ 





#### Example: expanding balls



 $\dim(H_0)=26$ 

#### Example: expanding balls



 $\dim(H_0)=21$ 

#### Example: expanding balls



 $\dim(H_0) = 12$ 







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#### Examples

• points in  $\mathbb{R}^n$ :  $Q = \{0, ..., m\}$  or  $\mathbb{R}$  1-parameter ("ordinary") persistence

- brain arteries:  $Q = \{0, ..., m\}$  or  $\mathbb{R}$  1-parameter ("ordinary") persistence
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### Data Persistence Flywings Probability History Biology Tameness Presentation Syzygy theorem (Co)generators Decomposition Future Persistent homology

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Example 1. Encode fruit fly wing with 2-parameter persistence

- 1st parameter: distance from vertex set
- 2nd parameter: distance from edge set



Sublevel set  $W_{r,s}$  is near edges but far from vertices Multiscale summary. Set  $H_{r,s} = H_0(W_{r,s})$  or  $H_1(W_{r,s})$  $\mathbb{Z}^2$ -module:

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Example 1. Encode fruit fly wing with 2-parameter persistence

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#### Data Persistence Flywings Probability History Biology Tameness Presentation Syzygy theorem (Co)generators Decomposition Future

### Persistent homology

Input. Topological space X filtered by set Q of subspaces:  $X_q \subseteq X$  for  $q \in Q$  $\Rightarrow Q$  is a partially ordered set:  $X_q \subseteq X_{q'} \Leftrightarrow q \preceq q'$ 

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1-parameter ("ordinary") persistence

2 discrete or continuous parameters

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1-parameter ("ordinary") persistence

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# Data Persistence Flywings Probability History Biology Tameness Presentation Syzygy theorem (Co)generators Decomposition Future Example: topology of probability distributions

Given probability measure  $\mu$  on a space M and kernel function of bandwidth r e.g. •  $K_r$  = Gaussian (normal distribution) of variance r on  $\mathbb{R}^d$ 

•  $K_r$  = uniform measure on ball of radius r on  $\mathbb{R}^d$ 

Def. Convolution with kernel  $K_r$  yields bandwidth r expansion  $B_r(\mu) = K_r * \mu$ . Example. •  $B_r(\mu_n) \sim B_r(\mu)$  if  $\mu_n$  is uniform on an n-sample from  $\mu$ •  $\mu = F(x)dx \Rightarrow B_r(\mu)$  has density  $K_r * F(x) = \int_M K_r(y - x)d\mu(y)$ 

Def.  $\nu$  with density function *F* has support at sensitivity *s*:

 $\nu_{\boldsymbol{s}} = \big\{ \boldsymbol{x} \in \boldsymbol{M} \mid \boldsymbol{F}(\boldsymbol{x}) \geq 1/\boldsymbol{s} \big\}.$ 

Def. The expansion of  $\mu$  to bandwidth r and sensitivity s is  $B_r(\mu)_{r^d s} \subseteq M$ . Prop.  $\{B_r(\mu)_{r^d s} \mid r \in \mathbb{R}_{\geq 0} \text{ and } s \in \mathbb{R}_{\geq 1}\} \subseteq M$  nested as r and s increase. Topological Data Analysis (TDA):  $B_r(\mu)_{r^d s} \rightsquigarrow$  homology  $H_*(B_r(\mu)_{r^d s})$ Def.  $\mu$  has  $i^{\text{th}}$  bipersistent homology  $H_i^{rs}(\mu) = H_i(B_r(\mu)_{r^d s})$ , an invariant of  $\mu$ algebra, geometry, combinatorics of  $H_*^{rs}(\nu) \leftrightarrow$  statistics of  $\nu$ 

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## Data Persistence Flywings Probability History Biology Tameness Presentation Syzygy theorem (Co)generators Decomposition Future Topology of probability distributions



images taken from Confidence sets for persistence diagrams, by Fasy, Lecci, Rinaldo, Wasserman, Balakrishnan, Singh, Annals of Statistics 42 (2014), no. 6, 2301–2339.
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# History of persistent homology

## Ordinary persistence

- traces back to [Morse 1940s]
- formally invented [Frosini, Landi 1999], [Robins 1999]
- efficient computation [Edelsbrunner, Letscher, Zomorodian 2002]
- further theoretical developments [too many to list; mostly 2006–]
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- algorithms, presentations, visualizations, notions of noise [Carlsson, Chachólski, Lesnick, Scolamiero, Vaccarino, Wright, Zomorodian,...]
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  - apply standard combinatorial commutative algebra (i.e.,  $\mathbb{Z}^n$ -modules)

## Structure theory

- restrict to parameter lines [Cagliari, Di Fabio, Ferri, Lesnick, Wright,... 2010-]
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### Normal fly wings [images from David Houle's lab]:



#### Normal fly wings [images from David Houle's lab]:



#### Topologically abnormal veins:





#### photographic image







## **Biological background**

## What generates topological novelty?

[Houle, et al.]: selecting for certain continuous wing vein deformations yields

- skew toward more oddly shaped wings, but also
- much higher rate of topological novelty

Hypothesis. Topological novelty arises when directional selection pushes continuous variation in a developmental program beyond a certain threshold.

## Test the hypothesis

- "plot" wings in "form space"
- determine whether topological variants lie "in the direction of" continuous shape selected for, and at the extreme in that direction

Goal. Statistical analysis encompassing topological vein variation, giving appropriate weight to new singular points in addition to varying shape

- compare phenotypic distance to genotypic distance; needs
- metric specifying distance between topologically distinct wings

To proceed. Statistics with fly wings as data objects  $\rightsquigarrow$  statistics with multiparameter persistence diagrams as data objects

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## Toy model fly wings



### A piece of fly wing vein

The (r, s)-plane  $\mathbb{R}^2$ 

- finitely many regions
- stratification alters persistence module
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#### The (r, s)-plane $\mathbb{R}^2$

## Observations

- finitely many regions
- stratification alters persistence module
- discrete approximates algebraic

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## Observations

- finitely many regions
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- discrete approximates algebraic but doesn't guarantee finitely generated



# **Topological tameness**

Finiteness conditions: •  $\mathbb{Z}^n$ -modules: finitely generated  $\Leftrightarrow$  noetherian •  $\mathbb{R}^n$ -modules from data analysis  $\leftrightarrow$  ??

Def [-]. H admits a constant subdivision if Q is partitioned into

- constant regions  $A \rightsquigarrow$  vector space  $H_A \xrightarrow{\sim} H_a$  for all  $a \in A$  with
- no monodromy: all comparable pairs a ≤ b with a ∈ A and b ∈ B induce the same composite H<sub>A</sub> → H<sub>a</sub> → H<sub>b</sub> → H<sub>B</sub>.

*H* is tame if dim<sub>k</sub>  $H_q < \infty$  and admits a finite constant subdivision.

Example.  $k_0 \oplus k[\mathbb{R}^2]$  admits constant regions  $\{0\}$  and  $\mathbb{R}^2 \setminus \{0\}$ 

Example. Fix a poset Q.

- upset  $U \subseteq Q$  if  $U = \bigcup_{u \in U} Q_{\succeq u}$
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[Andrei Okounkov, Limit shapes, real and imagined, Bulletin of the AMS 53 (2016), no. 2, 187-216]

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#### Examples. In $\mathbb{R}^2$ again,

• **k**[*U*] is flat if *U* =





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k[D] is injective if D =







# Presenting poset modules

### Default.

- free presentation
- injective copresentation
- Def [–]. An  $\mathbb{R}^n$ -module *H* is finitely encoded if it has either
  - an upset presentation, namely a homomorphism

 $\Bbbk[U_1] \oplus \cdots \oplus \Bbbk[U_\ell] \to \Bbbk[U_1] \oplus \cdots \oplus \Bbbk[U_k]$ 

with cokernel  $\cong$  *H*, or

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with image  $\cong H$ .

Data structure: monomial matrix

$$\mathbb{k}[U_1] \oplus \cdots \oplus \mathbb{k}[U_k] \xrightarrow{D_1 \cdots D_\ell} U_1 \begin{bmatrix} \varphi_{11} \cdots \varphi_{1\ell} \\ \vdots & \ddots & \vdots \\ \varphi_{k1} \cdots & \varphi_{k\ell} \end{bmatrix}$$

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### Over $\mathbb{R}^2$ : free presentation



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- 1. a finite constant subdivision (i.e., H is tame); or
- 2. a finite fringe presentation; or
- 3. a finite upset presentation; or
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- 5. a finite upset resolution; or
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Consequence. (Homological) algebra of *H* reduces to that of  $\Bbbk[U]$  or  $\Bbbk[D]$ .



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# Decomposition

#### Def. $\operatorname{soc}_{\tau} H$ = module of deaths along $\tau$ = upper boundary of H parallel to $\tau$

Def.  $H_{\tau}$   $\tau$ -coprimary if all cogenerators have type  $\tau$ 

Thm [–]. Finitely encoded  $\mathbb{R}^n$ -modules admit minimal primary decomopsition:  $H \hookrightarrow \bigoplus_{\text{faces } \tau} H_{\tau}$  with  $H_{\tau}$  coprimary and  $\text{soc}_{\tau} H \xrightarrow{\sim} \text{soc}_{\tau} H_{\tau}$ 



essential point:

Thm [-].  $\varphi : M \hookrightarrow N \Leftrightarrow \operatorname{soc}_{\tau} \varphi : \operatorname{soc}_{\tau} M \hookrightarrow \operatorname{soc}_{\tau} N$  for all faces  $\tau$ .

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### Next lectures

#### II. Tameness and encoding

- bar codes
- posets
- modules over posets
- constant subdivision
- tameness
- encoding

III. Presentation and decomposition: algebraic structures for computation

- covers and presentations: births
- hulls and copresentations: deaths
- primary decomposition
- fringe presentation: birth-and-death description
- equivalence of finiteness conditions

#### Biology. Test topological novelty hypothesis

- mark up wings with weird topology
- statistically analyze biparameter persistence summaries

#### Commutative algebra

- distance between modules via K-theory
- minimal resolution length in syzygy theorem

#### Computation

- calculate encoding / fringe presentation from vertices and Bézier curves
- homological algebra with (semialgebraic) upset and downset modules

Topology. Biparameter persistence as persistent intersection homology Algebraic geometry. Kashiwara–Schapira conjecture: finite encoding as  $\lambda$ -stratification of constructible sheaf in  $\gamma$ -topology

- feature strength  $\leftrightarrow$  likelihood of appearing in appropriate-size subsample
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Probability. Bootstrapping persistent homology: compare homology from one (large) dataset to homology from (relatively) few small subsamples

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#### Reboot at 14:00